

## QUESTIONNAIRE

### **Know your Customer Know Your Customer - KYC**

Pursuant to the Law on Anti-Money Laundering and Counter-Terrorism Financing (*BiH Official Gazette* No. 13/24), the Decision on Risk Management of Money Laundering and Financing of Terrorist Activities, the Banking Agency of the Federation of Bosnia and Herzegovina (*FBiH Official Gazette*, No.: 10/24) and the Decision on the Risk Management of Money Laundering and Financing of Terrorist Activities, the banking Agency of the Republika Srpska (*RS Official Gazette* No. 22/24), Raiffeisen Bank d.d. Bosna i Hercegovina (Bank) is under the obligation to regularly update documents and information on its customers.

We kindly ask you to respond to all the questions in this KYC Questionnaire, sign it and submit/send it to the Bank.

## **Part I**

### **Information on authorised persons of a legal entity:**

| First Name | Last name | Date and place of birth |       | Citizenship | Place of residence | Position | National ID number (JMBG) or other identification number (If available) | Name and number of the identification document, and the country of the issuer |
|------------|-----------|-------------------------|-------|-------------|--------------------|----------|---|---|
|            |           | Date                    | Place |             |                    |          |   |   |
|            |           |                         |       |             |                    |          |   |   |
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## **Part II**

### **Information on beneficial owner**

**Article 4 of the Law on Anti-Money Laundering and Counter-Terrorism Financing *BiH Official Gazette* no. 13/24):**

#### **Definition of the beneficial owner as follows:**

A natural person who owns or controls a legal entity through direct or indirect ownership of 25% or more business shares, voting or other rights based on which such a person exercises the right to manage the legal entity, or has a dominant position in the management of the assets of the legal entity or ownership of 25% or more shares.

A natural person who indirectly provides or secures funds for a company and on the grounds of which it has the right to significantly influence the decision-making of the management body of the company when deciding on financing and business operations,

Any natural person or more such persons that ultimately own or control a legal entity.

☐ We herewith confirm that there is no natural person who could be treated as the beneficial owner in accordance with the definition of the beneficial owner referred to in Article 4 of the Law on Anti-Money Laundering and Counter-Terrorism Financing, *BiH Official Gazette* no. 13/24).

Please list all persons deemed to be beneficial owners:

| First Name | Last name | Date and place of birth |       | Sex | Citizenship | Country of Residence: | % | Ownership or control | National ID number (JMBG) or other identification number (If available) |
|------------|-----------|-------------------------|-------|-----|-------------|-----------------------|---|----------------------|---|
|            |           | Date                    | Place |     |             |                       |   |                      |   |
|            |           |                         |       |     |             |                       |   |                      |   |
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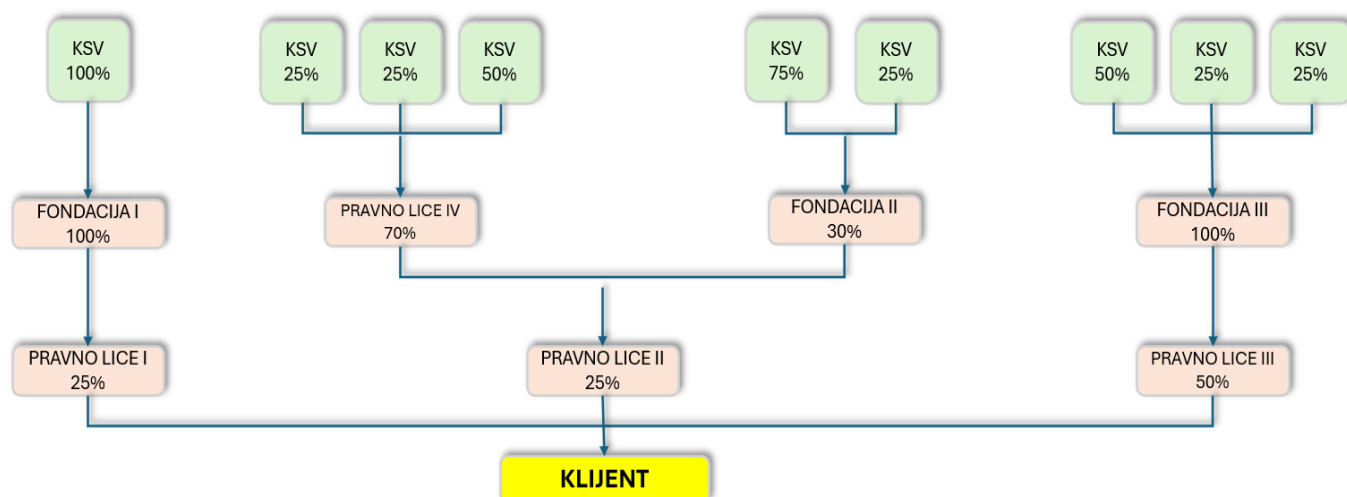
### Ownership structure

Please list all legal entities in the ownership structure up to the beneficial owner:

| Name | Legal form<br>(LLC, Joint Stock<br>Company etc.) | Registration<br>country and<br>address | Administrative<br>office country and<br>address | Percentage (%) | Level in Ownership<br>Structure (L1– first;<br>L2 – second, etc..) |
|------|--|--|---|----------------|--|
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Please enclose a signed organizational chart of your ownership structure.

### Example of determining the beneficial owner:



#### **Ownership structure:**

Level 1: Legal Entity I, Legal Entity II, Legal Entity III

Level 2: Foundation I, Legal Entity IV, Foundation II, Foundation III

**UBO:** Ultimate Beneficial Owner

If the legal entity is listed on one of the stock exchanges, please state the full name of the stock exchange:

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In case the legal entity is listed on one of the stock exchanges and if there is a shareholder who owns 25% or more of the shares, please answer the questions on the following pages:

List all persons deemed to be beneficial owners:

| First Name | Last name | Date and place of birth |       | Sex | Citizenship | Country of Residence: | % | Ownership or control | National ID number (JMBG) or other identification number (If available) |
|------------|-----------|-------------------------|-------|-----|-------------|-----------------------|---|----------------------|---|
|            |           | Date                    | Place |     |             |                       |   |                      |   |
|            |           |                         |       |     |             |                       |   |                      |   |
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### Part III

We perform business activities as follows:

|                                  |  |
|----------------------------------|--|
| For my own account               | <input type="checkbox"/> YES <input type="checkbox"/> NO (check the box) |
| For the account of third parties | <input type="checkbox"/> YES <input type="checkbox"/> NO (check the box) |

In case you perform business activities on behalf of a third party, please list all third parties / persons:

| First and last name | Date and place of birth |       | Citizenship | Country of Residence: |
|---------------------|-------------------------|-------|-------------|-----------------------|
|                     | Date                    | Place |             |                       |
|                     |                         |       |             |                       |
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|                     |                         |       |             |                       |

| Name | Registration country and address | Administrative office country and address |
|------|----------------------------------|---|
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### Part IV

#### **Financial sanctions**

Bank is under the obligation to regularly apply financial and economic sanctions.

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|--|--|
| 1) Does the legal entity have business partners (e.g. suppliers, buyers) who are subject to sanctions?   | <input type="checkbox"/> YES <input type="checkbox"/> NO (check the box) |
| 2) Does the legal entity have agents, branches, subsidiaries or joint ventures which are<br><br>(a) directly or indirectly affected by applicable sanctions or<br><br>(b) owned by or affiliated with sanctioned entities or persons, or<br><br>c) located in a country affected by sanctions? | <input type="checkbox"/> YES <input type="checkbox"/> NO (check the box) |
| 3) Does the legal entity export goods to countries <sup>1</sup> affected by sanctions?   | <input type="checkbox"/> YES <input type="checkbox"/> NO (check the box) |
| 4) Please confirm that the legal entity does not conduct any transactions that would breach the applicable sanctions through Raiffeisen Bank dd Bosna i Hercegovina.   | <input type="checkbox"/> I herewith confirm (check the box)              |

#### **Part I, II, III i IV:**

In the event that there have been changes regarding information on the beneficial owner, authorized persons, or information on the affiliation with offshore countries<sup>2</sup>, you are under the obligation to notify the Bank on all changes in writing and as soon as possible and submit valid and relevant documents.

Consent to Disclose Information:

☐ With our signature, we confirm that information from this Questionnaire, as well as KYC documents, Raiffeisen Bank d.d. Bosna i Hercegovina may disclose to any member of the Group Raiffeisen Bank d.d. Bosna i Hercegovina, RBI Group or Raiffeisen banking Group, as well as information on our direct or indirect owners and beneficial owners, which we have also provided to you as part of this Questionnaire, and for the purpose of compliance of Raiffeisen entities with the legal obligations regarding the identification of their customers, including the beneficial owners of their customers in the events as follows:

- Establishing, maintaining and analysing the business relationship with us, affiliates or our owners; or
- Conducting transactions with us, affiliates or our owners; or

<sup>1</sup> Countries affected by sanctions: Afghanistan, Belarus, DR Congo, Iran, Yemen, South Sudan, Crimea, Cuba, Libya, Myanmar, Russia, Syria, North Korea, Somalia, Sudan, Venezuela.

<sup>2</sup> Offshore countries: American Samoa, Andorra, Anguilla, Antigua and Barbuda, Aruba, Bahamas, Belize, Bermuda, Bonaire, British Virgin Islands, Cayman Islands, Cook Islands, Curacao, Cyprus, Fiji, Gibraltar, Guam, Guernsey, Isle of Man, Jersey, Labuan, Liechtenstein, Macau, Malaysia, Monaco, Montserrat, Palau, Panama, Saint Martin, Samoa, Seychelles, Trinidad and Tobago, Turks and Caicos, US Virgin Islands, Vanuatu



- Suspicions indicating that we, our affiliates or our owners have committed criminal offences of money laundering, financing of terrorist activities or other criminal offences regulated by positive regulations.

Date: \_\_\_\_\_

\_\_\_\_\_  
Name of legal entity

Seal and signature of the authorised person

\_\_\_\_\_  
Seal

\_\_\_\_\_  
Signatory's full name